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**Certificate of Transmission****OFFICIAL**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No.: 001 - 703/872-9306) on Dec. 17, 2003. The communication includes 9 pages.

Signature of Sender:

Paul Vincent

Name of Sender:

PAUL VINCENT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHAW, Jeffrey

) Examiner:

Application No.: 09/830,299

) unknown

Filing Date: April 25, 2001

) Art Unit:

For: INTERACTIVE FILM

) unknown

REPRODUCTION

)

Docket No.: 18390.4

December 17, 2003

**TRANSMITTAL LETTER RESUBMITTING AN ASSIGNMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450  
U.S.A.

Transmitted herewith is a resubmitted of an assignment lost by the USPTO in the above identified patent application.

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- (X) No additional fee is required.
- ( ) Please charge Deposit Account Number 50-0698 in the amount of \$                for the assignment.
- (X) The Commissioner is hereby authorized to charge payment of fees associated with this communication or credit any overpayment to Deposit Account Number 50-0698.
- ( ) Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
- ( ) Return Postcard Receipt

Respectfully submitted



Dr. Paul Vincent  
Reg. No. 37,461

Lichti, Lempert and Lasch  
Bergwaldstr. 1  
D-76227 Karlsruhe, Germany  
Telephone : +49-721-9432815  
Fax:                +49-721-9432840

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DEC 17 2003

**OFFICIAL**

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SHAW, Jeffrey	) Examiner:
Application No.:	09/830,299	) unknown
Filing Date:	April 25, 2001	) Art Unit:
For:	INTERACTIVE FILM	) unknown
	REPRODUCTION	)

Docket No.: 18390.4

Commissioner for Patents  
Alexandria, VA 22313-1450  
U.S.A.

## Request for Recording an Assignment Lost by the US PTO

This communication involves a request for recordation of an assignment lost by the US PTO and allocation of the recordation date to the original date at which the assignment was actually filed.

## STATEMENT OF FACTS:

On April 25<sup>th</sup>, 2001 the Applicant filed a request for nationalization in the United States of PCT/EP99/08078. On June 8<sup>th</sup>, 2001 the Applicant received a notification of acceptance of application under 35 USC 371 and 37 CFR 1.494 or 1.495 mailed May 30<sup>th</sup>, 2001. The notification indicated receipt of an assignment document on April 25<sup>th</sup>, 2001. On April 27<sup>th</sup>, 2001 the US PTO deducted the assignment fee of 40 \$ (fee

code 581) from the deposit account of the Applicant, account number 50-0698.

#### EVIDENCE IN SUPPORT OF THE FACTS:

Enclosed herewith is a true copy of the notification of acceptance of the application under 35 USC 371. The application number is indicated as is the date of receipt of the 35 USC 371 requirements. Item 4 of the notification is a check list indicating receipt of an assignment document.

Also enclosed is a true copy of the monthly statement of the deposit account of the Applicant for April 2001. The deposit account statement indicates a fee of 40 \$ for serial number 09/830,299 having a fee code of 581 thereby showing that this fee for the assignment document was deducted from the deposit account of the Applicant. Also enclosed is a true copy of the assignment filed including a recordation form cover and the assignment document itself. The document was executed on April 20<sup>th</sup>, 2001 and the Attorney for the Applicant signed the recordation cover sheet on April 21<sup>st</sup>, 2001.

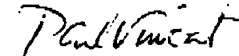
#### REQUESTS AND SUMMARY:

This communication provides unequivocal evidence that an assignment document was filed with the US PTO on April 25<sup>th</sup>, 2001. The Applicant therefore requests search for recovery of this document or, in the alternative, recordation of the accompanying true copy of the original assignment document and assignment of the recordation day, retroactively to April 25<sup>th</sup>, 2001. Since the assignment fee was already

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deducted from the deposit account, no fee is due. Should, however, fees be necessary please charge them to deposit account number 5-0698 or credit over payments thereto.

Respectfully submitted,



Dr. Paul Vincent

Registration number 37,461

Lichti, Lempert and Lasch

Bergwaldstr. 1

D-76227 Karlsruhe, Germany

Telephone: +49-721-9432815

Fax: +49-721-9432840

Enclosures:

Notification of acceptance of Application

Deposit account statement

Assignment



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 141  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO. 09/830299	FIRST NAMED APPLICANT SHAW	ATTY. DOCKET NO. 18390.4
INTERNATIONAL APPLICATION NO.		PCT/EP99/08078
U.S. FILING DATE 26 OCT 99		PRIORITY DATE 24 OCT 98
DATE MAILED: 30 MAY 2001		

DR PAUL J VINCENT  
LICHTI LEMPERT & LASCH  
BERGWALDSTR. 1  
D-76227 KARLSRUHE, DDX

PATENTANWALTE

03 JUN 2001

**NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371  
AND 37 CFR 1.494 OR 1.495**

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as | a Designated Office (37 CFR 1.494), | ☒ | an Elected Office (37 CFR 1.495), has determined that the above-identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

25 April 2001	25 April 2001
DATE OF RECEIPT OF	DATE OF RECEIPT OF ALL
35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above-identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☐ A request for immediate examination under 35 U.S.C. 371(f) was received on \_\_\_\_\_ and the application will be examined in turn.

4. The following items have been received:

- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application.
- ☐ Translation of the international application into English.
- ☒ Oath or Declaration of inventor(s).
- ☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.
- The Article 19 amendments ☐ have ☐ not been entered.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).
- ☐ Translation of Annexes to the IPER into English.
- The Annexes ☐ have ☐ not been entered.
- ☒ Preliminary amendment(s) filed 25 April 2001 and \_\_\_\_\_
- ☒ Information Disclosure Statement(s) filed 25 April 2001 and \_\_\_\_\_
- ☒ Assignment document.
- ☒ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Indication of Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Deborah Williams *DW*

Telephone: 703-305-3744

## Deposit Account Statement

Seite 1 von 1


**United States  
Patent and  
Trademark Office**
**Deposit Account Statement****Requested Statement Month:**

April 2001

**Deposit Account Number:**

500698

**Name:**

LICHTI, LEMPERT AND LASCH

**Attention:**

PAUL VINCENT

**Address:**

BERGWALD STR.1

**City:**

KARLSRUHE

**State:****Zip:**

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/06	1	09097403	73220	117	\$890.00	\$6,841.00
04/06	58	09824668	16954.0	101	\$710.00	\$6,131.00
04/06	113	09824704	15913.7	101	\$710.00	\$5,421.00
04/06	114	09824704	15913.7	103	\$126.00	\$5,295.00
04/13	15	29128911	17854.1	143	\$440.00	\$4,855.00
04/13	16	29128911	17854.1	561	\$30.00	\$4,825.00
04/13	150	09753712	18266.1	581	\$40.00	\$4,785.00
04/18	25	09811591	03-20-01	581	\$40.00	\$4,745.00
04/25	5	29128910	17917.9	143	\$440.00	\$4,305.00
04/25	6	29128910	17917.9	561	\$30.00	\$4,275.00
04/27	227	09830299	18390.4	970	\$860.00	\$3,415.00
X 04/27	228	09830299	18390.4	966	\$126.00	\$3,289.00
X 04/27	229	09830299	18390.4	964	\$80.00	\$3,209.00
X 04/27	230	09830299	18390.4	581	\$40.00	\$3,169.00
04/30	225	09830421	18443.0	970	\$860.00	\$2,309.00
04/30	228	09830419	18480.5	970	\$860.00	\$1,449.00
04/30	229	09830419	18480.5	966	\$144.00	\$1,305.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$7,731.00	\$6,426.00	\$0.00	\$1,305.00

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FORM PTO - 1595 <u>RECORDATION FORM COVER</u> (Rev. 6/93) OMB No. 0651-0011 (exp. 4/94)		<b>PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office DOCKET NR. 18390.4	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies):  SHAW, Jeffrey  Additional name(s) of conveying party(ies) attached? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>			2. Name and address of receiving party(ies)  Name: Zentrum für Kunst und Medientechnologie Karlsruhe Street Address: Lorenzstrasse 19 D-76135 Karlsruhe Germany  Additional name(s) & address(es) attached? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: April 20. 2001			4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No. (s) PCT/EP99/08078 filed October 26, 1999 Additional numbers attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Dr. Paul Vincent Street Address: Lichti, Lempert & Lasch Bergwaldstr. 1 D-76227 Karlsruhe Germany			6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 3.41) \$ 40 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account  8. Deposit account number: 50-0698  (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.					
Dr. Paul Vincent			<i>Paul Vincent</i>		April 23. 2001
Name of Person Signing			Signature		Date
Total number of pages including cover sheet, attachments, and document 2					



## ASSIGNMENT

For good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, as a below named inventor, I hereby sell and assign to Zentrum für Kunst und Medientechnologie Karlsruhe of Lorenzstr. 19, D-76135 Karlsruhe, Germany its successors and assigns or other legal representatives all my rights, title and interest, in and for the United States of America, in and to

## INTERACTIVE FILM REPRODUCTION

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed by me even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said Zentrum für Kunst und Medientechnologie Karlsruhe, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Zentrum für Kunst und Medientechnologie Karlsruhe

- (Full Name) (Signature)
- 1) Date April 20, 2001 Inventor Shaw Jeffrey Residence same as Post Office Address Citizenship German  
Post Office Address Karl-Seckinger-Straße 40 a, 76229 Karlsruhe
- 2) Date \_\_\_\_\_ Inventor \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_
- 3) Date \_\_\_\_\_ Inventor \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_
- 4) Date \_\_\_\_\_ Inventor \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_
- 5) Date \_\_\_\_\_ Inventor \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

Witness(es)

All)

2)

4)

1)

3)

5)